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| **For Information** |
| **Public/Non-Public** | Public |
| **Report to:** | Joint Audit and Scrutiny Panel (JASP) |
| **Date of Meeting:** | July 2022 |
| **Report of:** | Deputy Chief Constable  |
| **Report Author:** | Amanda Froggatt, Corporate Development Manager  |
| **E-mail:** | amanda.froggatt@notts.police.uk  |
| **Other Contacts:** | n/a |
| **Agenda Item:** | 8 |

**Audit and Inspection Update**

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| 1. **Purpose of the Report**
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* 1. To provide the Joint Audit and Scrutiny Panel (JASP) with an update on progress against recommendations arising from audits and inspections which have taken place during Quarter 1, 2022/23.
	2. To inform the Board of the schedule of planned audits and inspections.

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| 1. **Recommendations**
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* 1. That the Panel notes the status of audits and inspections carried out over the last quarter.
	2. That the Panel reviews Appendices A and B and, if required, request further detail which will be reported at the next meeting.

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| 1. **Reasons for Recommendations**
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* 1. To enable the Panel to fulfil its scrutiny obligations with regard to Nottinghamshire Police and its response to audits and inspections.
	2. To provide the Panel with greater scrutiny opportunities and to reach more informed decisions.
	3. To provide the Panel with the opportunity to shape the focus and data inputs for future HMICFRS inspections.

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| 1. **Summary of Key Points**
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**Audit and Inspection Action Updates**

* 1. The actions referred to in this report are the result of recommendations made by Nottinghamshire Police’s internal auditors and external inspectorates, including HMICFRS.
	2. There are currently 0 actions which have exceeded their target date.

4.3 Recent and forthcoming Inspections are detailed below.

**Table 1.1: Recent Inspection Activity**

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| --- | --- | --- | --- | --- |
| **Date of Inspection** | **Inspection Area** | **Date Report Received** | **Final Grading** | **Status** |
| N/A |  |  |  |  |

**Table 1.2: Forthcoming HMICFRS Inspections**

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| --- | --- | --- |
| **Date of Inspection** | **Inspection Area** | **Status** |
| w/c 21st November (1 week) | Armed Policing Thematic Inspection | Documents and timetable currently being prepared. |

**Table 1.3: Publications**

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| **Date of Publication** | **Inspection Area** | **Status** |
| November 2021 | A Joint Thematic Inspection of the CJ Journey for Individuals with Mental Health Needs and Disorders | Evidence submitted to HMICFRS for review. Awaiting feedback. |
| July 2021 | A Joint Thematic Inspection of Police and Crown Prosecution Service’s Response to Rape (Part 2)  | Evidence submitted to HMICFRS for review. Awaiting feedback. |
| April 2022 | Integrated PEEL Inspection  | Recommendations consolidated into Improvement Plan. Will be monitored through new DCC meeting. |

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| June 2022 | Expectations of Police Custody | Recommendations consolidated into Custody Action Plan. Will be monitored through new Custody meeting. |
| July 2022 | Twenty years on, is MAPPA achieving its objectives? | Recommendations submitted to Public Protection for updates. |

**Table 1.4: Super complaints**

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| **Date of Publication** | **Title** | **Status** |
| May 2021 | Modern Slavery: Hestia super complaint on the police response to victims of modern slavery | Force/OPCC response agreed, and updates sent to NPCC.  |
| August 2021 | A Duty to Protect: Police use of protective measures in case involving violence against women and girls | Force/OPCC response agreed, and updates sent to NPCC. |
| June 2022 | Super-complaint by Centre of Women’s Justice Failure to Address Police Perpetrated Domestic Abuse | Out for management comment.  |

4.5 Recent and Forthcoming Audits

**Table 1.5: Recent Audit Activity**

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| --- | --- | --- | --- | --- |
| **Date of Audit** | **Auditable Area** | **Date Report Received**  | **Final Grading** | **Status** |
| January 2022 | Business Change | February 2022  | Limited Assurance | Final report received. Recommendations managed through 4Action. |
| January 2022 | Health and Safety | February 2022 | Satisfactory Assurance | Final report received. Recommendations managed through 4Action. |
| February 2022 | Core Financials | February 2022 | Satisfactory Assurance | Final report received. Recommendations managed through 4Action. |
| May 2022 | Procurement  | May 2022 | Satisfactory Assurance | Final report received. Recommendations to be added to 4Action. |
| May 2022 | Seized Property | May 2022 | Limited Assurance | Draft report received, out for management comment. |
| July 2022 | Partnerships | July 2022 | Satisfactory Assurance | Final report received. Recommendations to be added to 4Action. |
| July 2022 | Custody Arrangements | July 2022 | Limited Assurance | Draft report received, out for management comment. |

**Table 1.6: Forthcoming Audits**

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| **Date of Audit** | **Auditable Area** | **Status** |
| September 2022 | Business Continuity and Emergency Planning | Terms of Reference agreed. |
| N/A | Medium Term Financial Plan  | N/A |
| N/A | Core Financials  | N/A |
| N/A | Cyber Security | N/A |
| N/A | Environmental Management | N/A |
| N/A | Risk Management | N/A |
| N/A | Fleet Management | N/A |
| N/A | Seized Property | N/A |

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| **5. Financial Implications and Budget Provision** |

5.1 If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

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| **6. Human Resources Implications** |

6.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **7. Equality Implications** |

7.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **8. Risk Management** |

8.1 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force’s risk management process.

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| **9. Policy Implications and links to the Police and Crime Plan Priorities** |

9.1 Any policy implications will be subject to current policy development process.

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| **10. Changes in Legislation or other Legal Considerations** |

10.1 There are no direct legal implications as a result of this report.

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| **11. Details of outcome of consultation** |

11.1 Following receipt of a final audit or inspection report a member of the Governance and Planning team consults with the appropriate Lead Officer and other stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.

11.2 All planned actions are added to the action planning system, 4Action, for management and review until completion.

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| **12. Appendices** |

12.1 Appendix A - Overview of all ongoing actions and recommendations from Inspections

12.2 Appendix B – Overview of all ongoing recommendations from internal audits.

12.3 Appendix C – Overview of all ongoing recommendations arising from Super Complaints.