

<b>For Information</b>	
<b>Public/Non Public</b>	<b>Public</b>
<b>Report to:</b>	<b>Audit and Scrutiny Panel</b>
<b>Date of Meeting:</b>	<b>10 December 2015</b>
<b>Report of:</b>	<b>Julie Mair, Temp. Head of Corporate Development</b>
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<b>Agenda Item:</b>	<b>12</b>

## **AUDIT AND INSPECTION REPORT**

### **1. Purpose of the Report**

- 1.1 To provide the Audit and Scrutiny Panel with an update on progress against recommendations arising from audits and inspections which have taken place within the force.
- 1.2 To inform the Panel of the schedule of planned audits and inspections.

### **2. Recommendations**

- 2.1 That the Panel notes the progress made against audit and inspection recommendations.
- 2.2 That the Panel takes note of forthcoming audits and inspections.

### **3. Reasons for Recommendations**

- 3.1 To enable the Panel to fulfil its scrutiny obligations with regard to the Force's response to audits and inspections.
- 3.2 To keep the Panel informed about forthcoming audits and inspections.

### **4. Summary of Key Points**

- 4.1 The actions referred to in this report are the result of recommendations made by the Force's internal auditors and external inspectorates, including Her Majesty's Inspectorate of Constabulary (HMIC). They are managed through the Force Activity Plan process and updated on a monthly basis.
- 4.2 Appendix 1 'Audit, Inspection and Review Status Report Quarter 2 2015/16' provides a summary of forthcoming audits and inspections that the Force is currently aware of.
- 4.3 There are a number of National Thematic Reports as well as Joint Inspection which have been discussed with key lead staff. For those listed below a decision has been made that 'no action is required. All have been approved by DCC Fish.

CJJI - Disability Hate Crime  
CJJI - Investigation and Prosecution of Fatal Road Traffic Incidents  
CJJI- Integrated Offender Management Process  
CJJI – Girls in the Criminal Justice System.  
College of Policing – Leadership Review

- 4.4 Appendix 2 'Audit and Inspection Actions Update Report Q2 2015/16' provides details of specific actions arising from audits and inspections that are either overdue, or at risk of being overdue.

### **Overdue Actions**

- 4.5 There are currently 2 actions showing as overdue. These overdue actions continue to be progressed and an explanation for why the original anticipated completion date was not met is included in 4Action.

### **Actions at risk of being Overdue**

- 4.6 There are 0 actions showing as at risk of being overdue.

## **5 Financial Implications and Budget Provision**

- 5.1 There are no direct financial implications. If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

## **6 Human Resources Implications**

- 6.1 There are no policy implications in relation to the actions listed.

## **7 Equality Implications**

- 7.1 There may be equality implications arising from the following reviews of policy and process:
- Witness care unit review.
  - Child protection and vulnerability in custody.

## **8 Risk Management**

- 8.1 None of the current actions are addressing specific risks on the Force's risk register. There are also no new risks arising from any of the agreed actions.
- 8.2 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force's established risk management process.

## **9 Policy Implications and links to the Police and Crime Plan Priorities**

- 9.1 There are likely to be policy implications in relation to the following action:
- Detention of children review.
- 9.2 The following actions relate to aspects of current Police and Crime Plan priorities:
- Witness care unit review.
  - Detention of children review.

## **10 Changes in Legislation or other Legal Considerations**

- 10.1 There are potential legal implications arising from the following actions:
- Detention of children review.

## **11 Details of outcome of consultation**

- 11.1 Following receipt of a final audit or inspection report a member of the Planning and Policy team consults with the Force lead and other responsible stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.
- 11.2 All planned actions are added to the Force's action planning system, 4Action, for management and review until completion.

## **12. Appendices**

- 12.1 Appendix 1: Audit and Inspection Status Report Q2 2015/16
- 12.2 Appendix 2: Audit and Inspection Actions Update Report Q2 2015/16