

JOINT AUDIT AND SCRUTINY PANEL WORK PLAN

| 11 February 2016 | | | |
|------------------|--|-------------|--------------|
| 1 | (43) Risk report on monitoring and actions for mitigation update | 6 monthly | OPCC & Force |
| 2 | (35) Force Governance monitoring, assurance and improvement outcomes for decision making | 6 monthly | |
| 3 | (45) Business Continuity compliance and assurance of testing and exercising plan lessons learned | Annually | Force |
| 4 | (46) Publication Scheme monitoring, review and assurance | Annually | OPCC |
| 5 | (51) & (49) Information Management Policy monitoring, assurance and improvement outcomes. Records review, retention and disposal guidance and audit monitoring, review and assurance | Annually | Force |
| 6 | Revenue Budget Management report | Annually | OPCC |
| 7 | 4 Year Capital Plan | Annually | OPCC |
| 8 | Treasury Management Report | Annually | OPCC |
| 9 | Update on survey of Police Audit Committee chairs | | |
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| | Standard items:- | | |
| | Updates on scrutiny and other reviews | As required | OPCC & Force |
| | PCC Update report | Quarterly | OPCC |
| | (12) & (40) Internal Audit Progress Report | Quarterly | OPCC CFO |

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| | (40) Audit & Inspection Report | Quarterly | ACO Resources |
| 30 June 2016 | | | |
| 1 | Election of Chair | | |
| 2 | (5) IPCC investigations, recommendations and actions (October - March) | 6 monthly | Force |
| 3 | (36) Force Improvement Activity Lessons Learned monitoring, IPCC lessons learned report (April – September) | 6 monthly | Force |
| 4 | (6) & (7) Whistle Blowing Policy and review of compliance (October - March) and Anti-Fraud and Corruption Policy - review of compliance update (October - March) | 6 monthly | Force & OPCC |
| 5 | (35) Force Governance monitoring, assurance and improvement outcomes for decision making | 6 monthly | Force |
| 6 | External Audit Annual Audit letter | Annually | OPCC CFO |
| 7 | Verbal update on regional assurance work | Annually | OPCC CFO |
| 8 | (11) Draft Audit Plan (Annual internal audit strategy and audit plan) | Annually | |
| 9 | (10) & (42) Force, PCC and Regional draft Annual Governance Statements | Annually | OPCC & Force |
| 10 | (39) Internal Audit Annual Assurance and Performance Report | Annually | OPCC CFO |
| 11 | (23 & 24) Statement of Accounts and Summary Statement of Accounts - DRAFT | Annually | OPCC & Force |
| 12 | Police and Crime Plan 6 month monitoring report | 6 monthly | OPCC |
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| | Standard items:- | | |
| | Updates on scrutiny and other reviews | As required | OPCC & Force |
| | PCC Update report | Quarterly | OPCC |
| | (12) & (40) Internal Audit Progress Report | Quarterly | OPCC CFO |

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| | (40) Audit & Inspection Report | Quarterly | ACO Resources |
| 15 September 2016 | | | |
| 1 | (23 & 24) Statement of Accounts and Summary Statement of Accounts | Annually | OPCC & Force |
| 2 | (10 & 42) Annual Governance Statements | Annually | OPCC & Force |
| 3 | External Audit – Annual Governance report | Annually | OPCC CFO |
| 4 | (43) Risk report on monitoring and actions for mitigation update | 6 monthly | OPCC & Force |
| 5 | (35) Force Governance monitoring, assurance and improvement outcomes for decision making | 6 monthly | Force |
| 6 | Regional Collaboration Update | Annually | Force |
| 7 | HMIC Inspections and Recommendations | Annually | OPCC |
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| | Standard items:- | | |
| | Updates on scrutiny and other reviews | As required | OPCC & Force |
| | PCC Update report | Quarterly | OPCC |
| | (12) & (40) Internal Audit Progress Report | Quarterly | OPCC CFO |
| | (40) Audit & Inspection Report | Quarterly | ACO Resources |