



## Risk Register



<b>Business area</b>	Force
<b>Responsible officer</b>	Deputy Chief Constable (DCC)
<b>Period</b>	Quarter 3, 2015/16



Identifier	Category	Risk description	Owner / Manager	Proximity	Probability	Impact	Rating	Trend	Response plan	Risk rating confidence
NPF 0016	Operational efficiency & effectiveness	<p><b>NEW</b> DIEU has suffered equipment failure of its CCTV video identification recording facility. The provision of this equipment is a requirement of PACE/case-law. Equipment was supplied by an external contractor so unsupported by Information Services or contents managed in accordance with Force requirements. Lack of a robust equipment/IT solution may render prosecutions ineffective where conduct of ID parade is key evidential requirement.</p> <p><b>Update: Solution purchased and installed through INS. Awaiting confirmation from risk owner that risk removed before closing.</b></p>	Superintendent Head of Crime Support	Daily	High	High	High (16)	↔	<ul style="list-style-type: none"> <li>Prioritise use of remaining stable equipment for cases where ID evidence is critical and heavily relied upon</li> <li>Replace ageing equipment (business case to be developed)</li> <li>Information Services to investigate/provide in-house long term solution and ensure information is managed in accordance with Force standards</li> </ul>	Reasonable

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NP 0015	Finances	Financial forecasting indicates higher spending than income. The Force currently anticipates that £9.3m of reserves will have to be utilised to balance the budget for the year end 2015/16. Reduction in resources spending will impact upon current and future activities and service delivery.	Head of Finance	Daily	Very High	Very High	Very High (25)		Reduce impact: <ul style="list-style-type: none"> <li>• Use of reserves</li> <li>• Scenario modelling to enable accurate impact forecast</li> <li>• Savings plans reviewed and revised</li> <li>• Cost base reduction</li> <li>• Strategic Alliance</li> </ul>	Substantial
NPF 0014	Crime & community safety	Due to a shortage of trained pursuit TAC advisors, and inability to provide training through EMOSS to increase capacity, a vehicle pursuit has to be abandoned when it would have been beneficial to continue. <b>Update: Risk to be reassessed as part of wider review of driver training.</b>	Ch Insp Contact Management / T/Insp Contact Management	Daily	Med (4)	High (4)	High (16)		Reduce the probability: <ul style="list-style-type: none"> <li>• <i>Decision to prioritise TAC advisor training is being pursued.</i></li> <li>• <i>Review of driver training</i></li> </ul>	Reasonable



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NPF 0011	Compliance	Design of custody cell basins, water dispensers and air vent grilles does not meet requirements of APP, resulting in a detained person being placed in a non-compliant cell	Head of EMCJS / Head of Custody (North)	Daily	Very high (5)	Medium (3)	High (15)		Avoid the risk: <ul style="list-style-type: none"> <li>Prepare business case for replacement works (Assets dept)</li> <li>Complete installation of replacement fixtures (Assets dept)</li> </ul>	Substantial
NPF 0003	Finances	The Force's appeal against the employment tribunal ruling on use of Reg A19 fails, resulting in the award of compensation to c100 former officers. <b>Update: Hearing not to be heard until summer 2016.</b>	DCC / Head of East Midlands Police Legal Services	Summer 2015	Med (3)	Very high (5)	High (15)		Avoid the risk: <ul style="list-style-type: none"> <li>Appeal process (EMPLS)</li> </ul> Contingency plan: <ul style="list-style-type: none"> <li>Contingent liability in accounts for 2015/16 (NOPCC)</li> </ul>	Reasonable
NPF 0006	Operational efficiency & effectiveness	Closure of Bridewell custody following mechanical or electrical failure, resulting in significantly reduced custody provision	Head of EMCJS / Head of Custody (North)	Next 2 years	Med (3)	High (4)	High (12)		Reduce probability: <ul style="list-style-type: none"> <li>Business case for replacing ageing equipment (Assets dept)</li> </ul> Reduce impact: <ul style="list-style-type: none"> <li>Custody business continuity plan to divert to other forces (EMCJS)</li> </ul>	Substantial




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NPF 0002	Operational efficiency & effectiveness	Current BEAT system is only compatible with Blackberry mobile devices, so when stocks of Blackberrys run out the Force is unable to provide replacements which removes the mobile data capability of operational officers. <b>Update: Contracted with HCL for replacement to BEAT software. Planned for delivery before Jan/Feb 2016 (before critical date). Risk to be lowered to medium as probability reduced to 2.</b>	Head of Information Services / Solutions Manager	Apr 2016	Med (2)	High (4)	Medium (8)		Avoid the risk <ul style="list-style-type: none"> <li>Upgrade existing BEAT system to be "device agnostic" (IS dept)</li> <li>Purchase alternative mobile devices (IS dept)</li> </ul>	Reasonable
NPF 0007	Life & safety	Clogging of air ducting at the Bridewell impedes fire detection and containment measures, resulting in a fire safety incident which endangers the lives of officers, staff, detained persons and visitors	Head of EMCJS / Head of Custody (North)	Next 2 years	Low (2)	Very high (5)	Med (10)		Avoid the risk: <ul style="list-style-type: none"> <li>Prepare business case for replacement fixtures or cleaning existing ducting (Assets dept)</li> </ul>	Reasonable


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NPF 0001	Operational efficiency & effectiveness	Force telephony infrastructure is nearing the end of its operational life, increasing the probability of critical failure resulting in temporary loss of internal & external communications capability. <b>Update: Project commenced</b>	Head of Information Services / Infrastructure & Service Delivery Manager	2016/17	Med (3)	Med (4)	High (12)		Reduce probability: <ul style="list-style-type: none"> <li>Replace Force-wide &amp; control room telephony (IS dept)</li> </ul> Reduce impact: <ul style="list-style-type: none"> <li>Contact Management business continuity plans to divert calls to other forces (CM dept)</li> </ul>	Reasonable
NPF 0012	Life & safety	Design of custody cell basins, water dispensers and air vent grilles creates a potential ligature point, resulting in an incident which endangers the life of a detained person	Head of EMCJS / Head of Custody (North)	2015	Very low (1)	Very high (5)	Low (5)		Avoid the risk: <ul style="list-style-type: none"> <li>Prepare business case for replacement works (Assets dept)</li> <li>Complete installation of replacement fixtures (Assets dept)</li> </ul>	Reasonable
NPF 0010	Environment	Excessive fuel spillage at one of the Force's underground storage tanks sites that does not have a fuel interceptor (Ollerton, Hucknall, Oxclose Lane & Sutton in Ashfield) results in pollution of the local watercourse	Head of Assets / Building Surveyor	Next 12 months	High (4)	Very low (1)	Low (4)		Reduce the probability: <ul style="list-style-type: none"> <li>Review long term options for bunkered fuel sites (Assets dept)</li> </ul> Contingency plan: <ul style="list-style-type: none"> <li>Spillage response measures in place - spill kits, notices (Assets dept)</li> </ul>	Reasonable

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NPF 0013	Life & safety	The design of stainless steel WC pans in custody (70+ cells) enables a detained person to secure a ligature under the rim, resulting in an incident which endangers their life	Head of EMCJS / Head of Custody (North)	2015	Very low (1)	Very high (5)	Low (5)		Assess the risk: <ul style="list-style-type: none"> <li>Review the facilities and recommend whether the risk should be accepted or avoided (Health &amp; safety, Assets and Custody)</li> </ul>	Substantial
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## Closed risks

Identifier	Risk description	Reason for closure	Date closed	Closed by