

## Appendix III



## Strategic Risk Register

<b>Business area</b>	Nottinghamshire Office of the PCC (NOPCC)
<b>Responsible officer</b>	Chief Executive
<b>Period</b>	Quarter 3, 2015/16



## Strategic Risk Register

Identifier	Function	Risk description	Owner	Proximity	Probability	Impact	Rating	Trend	Response plan	Risk rating confidence
PCC 004	Finance	The Force currently anticipates that £9.3m of reserves will have to be utilised to balance the budget for the year end 2015/16.	Chief Finance Officer	June 2016	Med (5)	Very high (5)	Very High (25)		Reduce probability & impact: <ul style="list-style-type: none"> <li>• Increase use of reserves</li> <li>• Development &amp; delivery of an efficiency savings plan for 2015/16</li> <li>• Independent assurance review</li> <li>• Monthly monitoring by CFO/PCC</li> </ul>	Limited
PCC 001	Crime & Community Safety	Increase in recorded Crime and ASB from changes in the recording rules	Head of Strategy and Assurance	Jun 2016	Low (2)	Very High (5)	Med (10)		Reduce probability: <ul style="list-style-type: none"> <li>• Police &amp; Crime Plan priorities for prevention &amp; early intervention;</li> <li>• Crime and ASB control strategies</li> <li>• Weekly and monthly monitoring</li> </ul>	Limited
PCC 002	Finance	Government review of Comprehensive Spending Review available to police, victims and community safety in 2015/16 could reduce funding	Chief Finance Officer	Jun 2016	Low (2)	Very high (5)	Med (10)		Reduce probability: <ul style="list-style-type: none"> <li>• Independent review of community safety and victim services</li> <li>• Independent assurance view of Force budget</li> <li>• Review and re-commission services to achieve greater efficiencies</li> </ul>	Limited



## Strategic Risk Register

Identifier	Function	Risk description	Owner	Proximity	Probability	Impact	Rating	Trend	Response plan	Risk rating confidence
PCC 005	Finances	The Home Office review of Police & Crime funding formula results in Nottinghamshire Police receiving a smaller settlement than it does at present	Chief Finance Officer	tbc	Low (2)	High (4)	Med (8)	↔	Reduce probability: <ul style="list-style-type: none"> <li>PCC's CFO involvement through PaCCTS;</li> <li>Lobbying Government for a better deal on funding formula, police grant, precept and community safety fund</li> </ul>	Limited



## Strategic Risk Register

### Closed risks

Identifier	Risk description	Reason for closure	Date closed	Closed by
PCC 003	The Force is unable to reduce expenditure by £12.7m during 2014/15 and exceeds its annual budget	Merged with risk PCC 004 relating to 2015/16	April 2015	Kevin Dennis



## Strategic Risk Register

### Appendix – explanatory note

The risk category should be drawn from the following list:

- Crime & community safety
- Operational efficiency & effectiveness
- Judicial process
- Finances
- Reputation
- Life & safety
- Compliance
- Environment

The following definitions and criteria have been used to describe and assess the risks recorded in this risk register:

Probability	Score	Definition
Very high	5	Extremely likely to occur (>90% chance)
High	4	More likely to occur than not (66-90% chance)
Medium	3	As likely to occur as not (36-65% chance); or unknown
Low	2	Unlikely to occur (11-35% chance)
Very low	1	Extremely unlikely to occur (1-10% chance)



## Strategic Risk Register

Impact	Score	Definition
Very high	5	Significant, lasting or permanent impact on objectives
High	4	Significant, temporary or noticeable, lasting impact on objectives
Medium	3	Noticeable, temporary or minor, lasting impact on objectives; or unknown
Low	2	Minor, temporary or minimal, lasting impact on objectives
Very low	1	Minimal, temporary impact on objectives

When assessing financial impact the following criteria have been used:

Impact	Score	Definition
Very high	5	£x,000,000s (millions)
High	4	£x00,000s (hundreds of thousands)
Medium	3	£x0,000s (tens of thousands)
Low	2	£x,000s (thousands)
Very low	1	£x00s (hundreds)



## Strategic Risk Register

Probability is multiplied by Impact to give the overall Rating, which is colour coded, dependent upon whether the risk represents a threat (negative impact) or opportunity (positive impact) using the matrices below:

Impact	V high (5)	5	10	15	20	25
	High (4)	4	8	12	16	20
	Medium (3)	3	6	9	12	15
	Low (2)	2	4	6	8	10
	V low (1)	1	2	3	4	5
		V low (1)	Low (2)	Medium (3)	High (4)	V high (5)
Probability						

**Threat scoring matrix**

**Opportunity scoring matrix**

Impact	V high (5)	25	20	15	10	5
	High (4)	20	16	12	8	4
	Medium (3)	15	12	9	6	3
	Low (2)	10	8	6	4	2
	V low (1)	5	4	3	2	1
		V low (5)	Low (4)	Medium (3)	High (2)	V high (1)
Probability						

### Confidence rating

The Confidence rating that is applied to each risk represents an evaluation of the source information used to assess the risk, as follows:

- Substantial – risk scoring is based on a significant amount of reliable data and / or intelligence
- Reasonable – risk scoring is based on some data and / or intelligence, but there are gaps or issues with reliability
- Limited – risk scoring is based on professional judgement alone