

<b>For Information</b>	
<b>Public/Non Public</b>	<b>Public</b>
<b>Report to:</b>	<b>Joint Audit and Scrutiny Panel</b>
<b>Date of Meeting:</b>	<b>15 December 2016</b>
<b>Report of:</b>	<b>Supt Paul Winter, Corporate Development</b>
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<b>Agenda Item:</b>	<b>10</b>

## **Audit and Inspection Report, Quarter Two 2016/17**

### **1. Purpose of the Report**

- 1.1 To provide the Joint Audit and Scrutiny Panel with an update on progress against recommendations arising from audits and inspections which have taken place during Quarter Two, 2016/17.
- 1.2 To inform the Panel of the schedule of planned audits and inspections.

### **2. Recommendations**

- 2.1 That the Panel notes the progress made against audit and inspection recommendations.
- 2.2 That the Panel takes note of forthcoming audits and inspections.

### **3. Reasons for Recommendations**

- 3.1 To enable the Panel to fulfil its scrutiny obligations with regard to Nottinghamshire Police and its response to audits and inspections.
- 3.2 To keep the Panel informed about forthcoming audits and inspections.

### **4. Summary of Key Points**

- 4.1 The actions referred to in this report are the result of recommendations made by Nottinghamshire Police's internal auditors and external inspectorates, including Her Majesty's Inspectorate of Constabulary (HMIC). They are managed through an activity plan process and updated on a monthly basis.
- 4.2 Appendix 1 'Audit, Inspection and Review Status Report Quarter 2 2016/17' provides a summary of forthcoming audits and inspections that the Force is currently aware of.
- 4.3 Appendix 2 'Audit and Inspection Actions Update Report Quarter 2 2016/17' provides details of specific actions arising from audits and inspections that are

either off target, at risk of being off target, proposed for closure, or new actions.

## **Overdue Actions**

4.4 There are currently 5 actions which have exceeded their target date.

## **Actions at risk of being Overdue**

4.5 There are 15 actions showing as 'at risk' of being off target i.e. they will exceed their target date in the next month.

## **5 Financial Implications and Budget Provision**

5.1 If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

## **6 Human Resources Implications**

6.1 There may be policy implications in relation to the actions listed:

- Nottinghamshire Police's approach to tackling Domestic Abuse (local report)
- PEEL: Police effectiveness 2015 (vulnerability National & Local)
- Procurement January 2016
- Data Protection Act Compliance Oct 2016

## **7 Equality Implications**

7.1 There may be equality implications arising from the following reviews of policy and process, each will be considered on a separate basis.

- The depths of dishonour: Hidden voices and shameful crimes.

## **8 Risk Management**

8.1 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force's risk management process.

## **9 Policy Implications and links to the Police and Crime Plan Priorities**

9.1 Any policy implications will be subject to current policy development process.

9.2 The following actions relate to aspects of current Police and Crime Plan priorities:

- Domestic abuse action plan.
- The depths of dishonour: Hidden voices and shameful crimes.
- PEEL: Police effectiveness 2015 (vulnerability National & Local)

## **10 Changes in Legislation or other Legal Considerations**

10.1 There are no direct legal implications as a result of this report.

## **11 Details of outcome of consultation**

11.1 Following receipt of a final audit or inspection report a member of the Governance and Planning team consults with the appropriate Lead Officer and other stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.

11.2 All planned actions are added to the action planning system, (4Action) for management and review until completion.

## **12. Appendices**

12.1 Appendix 1: Audit and Inspection Status Report Q2 2016/17

12.2 Appendix 2: Audit and Inspection Actions Update Report Q2 2016/17