

JOINT AUDIT AND SCRUTINY PANEL WORK PLAN

15 December 2016

1	Force report on complaints and misconduct, investigations, new cases, open cases, together with monitoring, dip-sampling recommendations and implementation of actions and lessons learned	6 monthly	
2	Force report on IPCC investigations, recommendations and actions taken together with implementation of lessons learned	6 monthly	
3	Force report on the Whistle Blowing policy and review of compliance (process of grievances and appeals) Force report on Anti-Fraud and Corruption policy review of compliance updates	6 monthly	
4	Force Governance monitoring, assurance and improvement outcomes for decision making report	6 monthly	
5	Treasury Update report to show compliance with the Treasury Management Strategy	Annually	Charlie Radford
6	Annual Audit letter – External Audit	Annually	Charlie Radford
	Report on insurance claims covering public liability, employer's liability, employment and motor liabilities including costing and lessons learned – rescheduled from September 2016	Annually	Force
	Force compliance assurance mapping (from September)	Annually	
	Force and OPCC Risk report on monitoring and actions for mitigation	6 monthly	
	Every Meeting		
	Internal Audit Progress Reports		Charlie Radford & Brian Welch
	Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes		Julie Mair
	For information items		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		

9 March 2017

1	Report on Annual Internal Audit Strategy and Audit Plan	Annually	Charlie Radford & Brian Welch
2	Force report on Business Continuity compliance and assurance of testing and exercising plan lessons learned	Annually	
3	Force Publication Scheme monitoring, review and assurance report	Annually	
4	OPCC compliance with Specified Information Order and FOIs report	Annually	Lisa Gilmour
5	Force report on Information Management, FOI, DP audits and assurance reports	Annually	
6	Force and OPCC Risk report on monitoring and actions for mitigation	6 monthly	
7	Internal and External Audits, Review and Inspections	Annually	Julie Mair
8	External audit Plan	Annually	Charlie Radford & Simon Lacey
9	External Audit Progress Report – possibly		
10	Lessons learnt on Victims ITT		Ronnie Adams
	Every meeting		
	Internal Audit Progress Reports		
	Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes - Is this a duplication of item 7 above		Julie Mair
	For information only		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		Phil Gilbert
	OPCC Budget Report		Charlie Radford & Force
	OPCC Precept Report		Charlie Radford
	OPCC Report on the Medium Term Financial Plan		Charlie Radford
	4 year capital Plan including the Annual Capital Budget		Charlie Radford
	The Treasury Management Strategy		Charlie Radford
	Reserves Strategy		Charlie Radford

29 June 2017 – may need to move this to end of July for final statement of accounts to be considered.

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3	Force report on the Whistle Blowing policy and review of compliance (process of grievances and appeals) Force report on Anti-Fraud and Corruption policy review of compliance updates	6 monthly	
4	OPCC Produce a summary set of accounts for publication	Annually	
5	Annual Internal Audit Assurance Report	Annually	Needs to be earlier – May extraordinary meeting?
6	Force Governance monitoring, assurance and improvement outcomes for decision making report	6 monthly	
7	OPCC Final Statutory Accounts	Annually	
8	External Audit Governance report ISA260	Annually	Charlie Radford & Simon Lacey/Andrew Cardoza
9	Annual Governance Statements	Annually	Charlie Radford & Force
	Every meeting		
	Internal Audit Progress Reports		
	Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes		
	For Information only		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		