

## OPCC Strategic Risk Register

<b>Business Area</b>	OPCC
<b>Responsible Officer</b>	Chief Executive
<b>Period</b>	Quarter 1, 2016/17

Identifier	Category	Risk Description	Owner/ Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 001	Financial	Force unable to achieve £12 efficiency savings and balance budget. Requiring further use of reserves and negative impact on recruitment of officers.	Charlie Radford, CFO, OPCC	2016/17	Low (2)	Very High (5)	Med (10)	↔	<ul style="list-style-type: none"> <li>Letter to Chief Constable setting out budget requirements and parameters</li> <li>Business cases to be actively reviewed by Force/OPCC</li> <li>Monthly budget meetings with Force</li> <li>Escalation process to weekly PCC/CC briefings</li> <li>Base budget reviews to be completed in autumn</li> <li>2020 workforce plan aligned to MTFP</li> </ul>	Reasonable

Identifier	Category	Risk Description	Owner/ Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 002	Reputation	Reduction in crime recording compliance impacting on crime levels, which may lead to a negative Impact on Force reputation and public confidence.	Phil Gilbert, Head of Assurance and Performance, OPCC	Immediate	Low (2)	Very High (5)	Med (10)	↔	<ul style="list-style-type: none"> <li>Quarterly dip sampling undertaken by Force Crime Registrar</li> <li>Crime &amp; Incident Data Quality Board meets quarterly to review and respond to NCRS audits and compliance.</li> <li>NOPCC staff represented on above board.</li> <li>Compliance with NCRS is a measure in the Commissioner's Police and Crime Plan (Theme 7 measure 4) and reported in the Performance and Insight reports.</li> </ul>	Reasonable
OPCC 003	Finance	Increase budget pressure from A19 and other employment litigation.	Charlie Radford, CFO, OPCC	Jan 2017	Med (3)	Very High (5)	High (15)	↔	<ul style="list-style-type: none"> <li>Contingent Liability in accounts 16/17</li> <li>Review as part of reserve strategy</li> </ul>	Reasonable

Identifier	Category	Risk Description	Owner/Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 004	Reputation	Instability and multiple retirements within the Chief Officer Team impacting on police leadership and delivery on performance and training.	Kevin Dennis, Chief Executive, OPCC	Immediate	Low (2)	Very High (5)	Med (10)	↔	<ul style="list-style-type: none"> <li>• Delay retirement of DCC</li> <li>• Temporary Chief Constable arrangement until March 2017 PCC to set clear objective.</li> <li>• College of policing to lead executive search programme to help attract pool of external candidates</li> <li>• Active involvement of Partners and Stakeholders in recruitment process</li> </ul>	Reasonable

Identifier	Category	Risk Description	Owner/Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 005	Compliance/Operational Efficiency and Effectiveness	Inconsistent force referrals to victims support services which may lead to victims not getting the support they require and lack of compliance with Victim's Code.	Nicola Wade	Immediate	3 (Med)	3 (Med)	9 (Med)	↔	<ul style="list-style-type: none"> <li>Head of PPU investigating referrals to domestic and sexual violence services.</li> <li>Consent based referrals to Victim Support piloted during summer/autumn 2016. Force lead on Victims' Code implementing plans to address officer compliance on capturing consent and enhanced entitlements.</li> <li>Nottinghamshire Victims' CARE service ITT will implement new model to substantially raise the profile and reach of victim support service within communities who may not report crime to the police.</li> </ul>	Reasonable

Identifier	Category	Risk Description	Owner/ Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 006	Reputation	Reduction in Partnership support and joint working between Nottingham City Council and Nottinghamshire Police.	Kevin Dennis, Chief Executive, OPCC	Immediate	Med (3)	Very High (5)	High (15)	↑	<ul style="list-style-type: none"> <li>• Ongoing dialogue PCC and Chief Constable, Deputy Chief Constable with Senior officer with political leaders</li> <li>• One to one meetings to maintain relationships and attempt to resolve concerns and perceptions of risk</li> <li>• Active involvement of partners in designing structures</li> <li>• Partner and stakeholder involvement in new Chief Constable recruitment process</li> </ul>	Limited

Identifier	Category	Risk Description	Owner/ Manager	Proximity	Probability	Impact	Rating	Trend	Response Plan	Risk Confidence Rating
OPCC 007	Crime and Community Safety / Compliance	Work with Equinox Strategic Management Group to implement and monitor risk register relating to victims. Survivors support/ resources and information sharing data.	Kevin Dennis. Chief Executive, OPCC	Immediate	Low (2)	Very High (5)	Med (10)	↔	<ul style="list-style-type: none"> <li>• Active attendance at SMG Strategic Management Meeting</li> <li>• Reviewing and monitoring risk register at each meeting</li> <li>• Mitigations/response plans to be actively implemented by lead agency.</li> <li>• Active engagement/listening to survivors events/research</li> </ul>	Reasonable