

Appendix IV



Strategic Risk Register

Business area	Nottinghamshire Office of the PCC (NOPCC)
Responsible officer	Chief Executive
Period	Quarter 2, 2015/16



Strategic Risk Register

Identifier	Function	Risk description	Owner	Proximity	Probability	Impact	Rating	Trend	Response plan	Risk rating confidence
PCC 004	Finance	The Force is unable to reduce expenditure by around £11m during 2015/16 and exceeds its annual budget	Chief Finance Officer	June 2016	Med (3)	Very high (5)	High (15)		Reduce probability & impact: <ul style="list-style-type: none"> • Increase use of reserves • Development & delivery of an efficiency savings plan for 2015/16 • Independent assurance review • Monthly monitoring by CFO/PCC 	Limited
PCC 001	Crime & Community Safety	Increase in recorded Crime and ASB from changes in the recording rules	Performance & Assurance Manager	Jun 2016	Low (2)	Very High (5)	Med (10)		Reduce probability: <ul style="list-style-type: none"> • Police & Crime Plan priorities for prevention & early intervention; • Crime and ASB control strategies • Weekly and monthly monitoring 	Limited
PCC 002	Finance	Government review of Comprehensive Spending Review available to police, victims and community safety in 2015/16 could reduce funding	Chief Finance Officer	Jun 2016	Low (2)	Very high (5)	Med (10)		Reduce probability: <ul style="list-style-type: none"> • Independent review of community safety and victim services • Independent assurance view of Force budget • Review and re-commission services to achieve greater efficiencies 	Limited



Strategic Risk Register

Identifier	Function	Risk description	Owner	Proximity	Probability	Impact	Rating	Trend	Response plan	Risk rating confidence
PCC 005	Finances	The Home Office review of Police & Crime funding formula results in Nottinghamshire Police receiving a smaller settlement than it does at present	Chief Finance Officer	tbc	Low (2)	High (4)	Med (8)	↔	Reduce probability: <ul style="list-style-type: none"> PCC's CFO involvement through PaCCTS; Lobbying Government for a better deal on funding formula, police grant, precept and community safety fund 	Limited



Strategic Risk Register

Closed risks

Identifier	Risk description	Reason for closure	Date closed	Closed by
PCC 003	The Force is unable to reduce expenditure by £12.7m during 2014/15 and exceeds its annual budget	Merged with risk PCC 004 relating to 2015/16	April 2015	Kevin Dennis



Strategic Risk Register

Appendix – explanatory note

The risk category should be drawn from the following list:

- Crime & community safety
- Operational efficiency & effectiveness
- Judicial process
- Finances
- Reputation
- Life & safety
- Compliance
- Environment

The following definitions and criteria have been used to describe and assess the risks recorded in this risk register:

Probability	Score	Definition
Very high	5	Extremely likely to occur (>90% chance)
High	4	More likely to occur than not (66-90% chance)
Medium	3	As likely to occur as not (36-65% chance); or unknown
Low	2	Unlikely to occur (11-35% chance)
Very low	1	Extremely unlikely to occur (1-10% chance)



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Impact	Score	Definition
Very high	5	Significant, lasting or permanent impact on objectives
High	4	Significant, temporary or noticeable, lasting impact on objectives
Medium	3	Noticeable, temporary or minor, lasting impact on objectives; or unknown
Low	2	Minor, temporary or minimal, lasting impact on objectives
Very low	1	Minimal, temporary impact on objectives

When assessing financial impact the following criteria have been used:

Impact	Score	Definition
Very high	5	£x,000,000s (millions)
High	4	£x00,000s (hundreds of thousands)
Medium	3	£x0,000s (tens of thousands)
Low	2	£x,000s (thousands)
Very low	1	£x00s (hundreds)



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Probability is multiplied by Impact to give the overall Rating, which is colour coded, dependent upon whether the risk represents a threat (negative impact) or opportunity (positive impact) using the matrices below:

Impact	V high (5)	5	10	15	20	25
	High (4)	4	8	12	16	20
	Medium (3)	3	6	9	12	15
	Low (2)	2	4	6	8	10
	V low (1)	1	2	3	4	5
		V low (1)	Low (2)	Medium (3)	High (4)	V high (5)
Probability						

Threat scoring matrix

Opportunity scoring matrix

Impact	V high (5)	25	20	15	10	5
	High (4)	20	16	12	8	4
	Medium (3)	15	12	9	6	3
	Low (2)	10	8	6	4	2
	V low (1)	5	4	3	2	1
		V low (5)	Low (4)	Medium (3)	High (2)	V high (1)
Probability						

Confidence rating

The Confidence rating that is applied to each risk represents an evaluation of the source information used to assess the risk, as follows:

- Substantial – risk scoring is based on a significant amount of reliable data and / or intelligence
- Reasonable – risk scoring is based on some data and / or intelligence, but there are gaps or issues with reliability
- Limited – risk scoring is based on professional judgement alone