

<b>For Information / Consideration</b>	
<b>Public/Non Public*</b>	<b>Public</b>
<b>Report to:</b>	<b>Audit and Scrutiny Panel</b>
<b>Date of Meeting:</b>	<b>25 May 2021</b>
<b>Report of:</b>	<b>Chief Finance Officer</b>
<b>Report Author:</b>	<b>Charlotte Radford</b>
<b>Other Contacts:</b>	<b>Mark Lunn</b>
<b>Agenda Item:</b>	<b>9</b>

## **INTERNAL AUDIT ANNUAL ASSURANCE REPORT**

### **1. Purpose of the Report**

- 1.1 To provide members with the Annual Assurance Report of the Internal Audit Manager for work undertaken in 2020-21.
- 1.2 To also provide detail of work in 2020-21 against the Audit Plan.

### **2. Recommendations**

- 2.1 Members are recommended to consider the report and its implication for the draft Annual Governance Statements on today's agenda.

### **3. Reasons for Recommendations**

- 3.1 This complies with good governance and in ensuring assurance can be obtained from the work carried out.

### **4. Summary of Key Points**

- 4.1 The attached reports detail the work undertaken during 2020-21. The report summarises the audits undertaken and the findings of these audits.
- 4.2 The report also provides the assurance that members can obtain from the work undertaken. This is considered to be generally adequate with effective controls, processes and governance in place in both the OPCC and Force.
- 4.3 Significant areas of concern were identified in audits during 2020-21 and these will be followed-up in 2021-22.

### **5. Financial Implications and Budget Provision**

- 5.1 None as a direct result of this report.

## **6. Human Resources Implications**

6.1 None as a direct result of this report.

## **7. Equality Implications**

7.1 None as a direct result of this report.

## **8. Risk Management**

8.1 None as a direct result of this report. Recommendations will be actioned to address the risks identified within the individual reports and recommendations implementation will be monitored and reported within the audit and inspection report to this panel.

## **9. Policy Implications and links to the Police and Crime Plan Priorities**

9.1 This report complies with good governance and financial regulations.

## **10. Changes in Legislation or other Legal Considerations**

10.1 None

## **11. Details of outcome of consultation**

11.1 Not applicable

## **12. Appendices**

12.1 Appendix A – Internal Audit Annual Assurance Report 2020-21

12.2 Appendix B – Internal Audit Progress Report 2020-21

Note: Appendices not available at time of publication.