

JOINT AUDIT AND SCRUTINY PANEL WORK PLAN 2017/18

27 July 2017			
1	OPCC Produce a summary set of accounts for publication	Annually	OPCC
2	OPCC Final Statutory Accounts	Annually	Charlie Radford & Pam Taylor
3	External Audit Governance report ISA260	Annually	Charlie Radford & Andrew Cardoza
4	Annual Governance Statements	Annually	Charlie Radford & Force
5	Annual Internal Audit Report (went to June meeting)	Annually	Charlie Radford and Brian Welch
	For Information only		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		

28 September 2017

1	Force report on complaints and misconduct, investigations, new cases, open cases, together with monitoring, dip-sampling recommendations and implementation of actions and lessons learned	6 monthly	
2	Force report on IPCC investigations, recommendations and actions taken together with implementation of lessons learned	6 monthly	
3	Force report on the Whistle Blowing policy and review of compliance (process of grievances and appeals) Force report on Anti-Fraud and Corruption policy review of compliance updates	6 monthly	
4	OPCC Produce a summary set of accounts for publication	Annually	
5	OPCC Final Statutory Accounts	Annually (earlier in 2017). This meeting as a back-up. 2017 only.	Charlie Radford
6	External Audit Governance report ISA260	Annually (earlier in 2017). This meeting as a back-up. 2017 only.	Charlie Radford & Andrew Cardoza
7	Force Regional Collaboration Update	Annually	
8	Force Report on Public Finance Initiative Contracts	Annually	
9	Force and OPCC HMIC Inspections and Recommendations	Annually	
10	Force and OPCC risk report of monitoring and actions for mitigation	6 monthly	
	Every Meeting		
11	Internal Audit Progress Reports		Charlie Radford & Brian Welch
12	Force Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes		Julie Mair / Natalie Baker-Swift
	For information items		
	OPCC reports and information to support updates for monitoring the Police & Crime Plan		

08 December 2017

1	Force Governance monitoring, assurance and improvement outcomes for decision making report	6 monthly	
2	Treasury Update report to show compliance with the Treasury Management Strategy	Annually	Charlie Radford
3	Annual Audit letter – External Audit	Annually – could be Sept in future.	Charlie Radford
4	Report on insurance claims covering public liability, employer's liability, employment and motor liabilities including costing and lessons learned	Annually	Pam Taylor
5	Force compliance assurance mapping	Annually	
	Every Meeting		
	Internal Audit Progress Reports		Charlie Radford & Brian Welch
	Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes		Julie Mair
	For information items		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		

March 2018

1	Report on Annual Internal Audit Strategy and Audit Plan	Annually	Charlie Radford & Brian Welch
2	Force report on Business Continuity compliance and assurance of testing and exercising plan lessons learned	Annually	
3	Force Publication Scheme monitoring, review and assurance report	Annually	
4	OPCC compliance with Specified Information Order and FOIs report	Annually	Lisa Gilmour
5	Force report on Information Management, FOI, DP audits and assurance reports	Annually	
6	Force and OPCC Risk report on monitoring and actions for mitigation	6 monthly	
7	Internal and External Audits, Review and Inspections	Annually	Julie Mair
8	External audit Plan	Annually	Charlie Radford & Andrew Cardoza
9	External Audit Progress Report – possibly		
10	Lessons learnt on Victims ITT		Ronnie Adams
	Every meeting		
	Internal Audit Progress Reports		
	Internal Audit, Review and Inspection Monitoring, assurance and improvements outcomes - Is this a duplication of item 7 above		Julie Mair
	For information only		
	OPCC reports and information to support updates for monitoring the Police and Crime Plan		Phil Gilbert
	OPCC Budget Report		Charlie Radford & Force
	OPCC Precept Report		Charlie Radford
	OPCC Report on the Medium Term Financial Plan		Charlie Radford
	4 year capital Plan including the Annual Capital Budget		Charlie Radford
	The Treasury Management Strategy		Charlie Radford
	Reserves Strategy		Charlie Radford