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| **For Information** | |
| **Public/Non-Public** | Public |
| **Report to:** | Joint Audit and Scrutiny Panel (JASP) |
| **Date of Meeting:** | October 2022 |
| **Report of:** | Deputy Chief Constable |
| **Report Author:** | Amanda Froggatt, Corporate Development Manager |
| **E-mail:** | amanda.froggatt@notts.police.uk |
| **Other Contacts:** | n/a |
| **Agenda Item:** |  |

**Audit and Inspection Update**

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| 1. **Purpose of the Report** |

* 1. To provide the Joint Audit and Scrutiny Panel (JASP) with an update on progress against recommendations arising from audits and inspections which have taken place during Quarter 2, 2022/23.
  2. To inform the Board of the schedule of planned audits and inspections.

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| 1. **Recommendations** |

* 1. That the Panel notes the status of audits and inspections carried out over the last quarter.
  2. That the Panel reviews Appendices A and B and, if required, request further detail which will be reported at the next meeting.

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| 1. **Reasons for Recommendations** |

* 1. To enable the Panel to fulfil its scrutiny obligations with regard to Nottinghamshire Police and its response to audits and inspections.
  2. To provide the Panel with greater scrutiny opportunities and to reach more informed decisions.
  3. To provide the Panel with the opportunity to shape the focus and data inputs for future HMICFRS inspections.

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| 1. **Summary of Key Points** |

**Audit and Inspection Action Updates**

* 1. The actions referred to in this report are the result of recommendations made by Nottinghamshire Police’s internal auditors and external inspectorates, including HMICFRS.
  2. There are currently 0 actions which have exceeded their target date.

4.3 Recent and forthcoming Inspections are detailed below.

**Table 1.1: Recent Inspection Activity**

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| --- | --- | --- | --- | --- |
| **Date of Inspection** | **Inspection Area** | **Date Report Received** | **Final Grading** | **Status** |
| March 2022 | Integrated PEEL – Vetting and CCU | Draft received October 2022 | GOOD | Awaiting Final report |

**Table 1.2: Forthcoming HMICFRS Inspections**

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| --- | --- | --- |
| **Date of Inspection** | **Inspection Area** | **Status** |
| w/c 21st November (1 week) | Armed Policing Thematic Inspection | Documents and timetable submitted |

**Table 1.3: Publications**

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| --- | --- | --- |
| **Date of Publication** | **Inspection Area** | **Status** |
| July 2022 | Twenty years on, is MAPPA achieving its objectives? | Recommendation updates submitted to HMICFRS portal. Awaiting sign off |
| August 2022 | PEEL Spotlight - The police response to burglary, robbery, and other acquisitive crime - Finding time for crime | Recommendation updates submitted to HMICFRS portal. Awaiting sign off |

**Table 1.4: Super complaints**

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| --- | --- | --- |
| **Date of Publication** | **Title** | **Status** |
| None received during this quarter |  |  |

4.5 Recent and Forthcoming Audits

**Table 1.5: Recent Audit Activity**

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| --- | --- | --- | --- | --- |
| **Date of Audit** | **Auditable Area** | **Date Report Received** | **Final Grading** | **Status** |
| May 2022 | Procurement | May 2022 | Satisfactory Assurance | Final report received. Recommendations monitored through 4Action. |
| May 2022 | Seized Property | May 2022 | Limited Assurance | Draft report received, out for management comment. |
| July 2022 | Partnerships | July 2022 | Satisfactory Assurance | Final report received. Recommendations monitored through 4Action. |
| July 2022 | Custody Arrangements | July 2022 | Limited Assurance | Draft report received, out for management comment. |
| Sept 2022 | Business Continuity and Emergency Planning | Sept 2022 | - | Audit undertaken awaiting report. |
| Sept 2022 | Medium Term Financial Plan | Sept 2022 | Significant Assurance | Final report received. Recommendations monitored through 4Action. |

**Table 1.6: Forthcoming Audits**

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| --- | --- | --- |
| **Date of Audit** | **Auditable Area** | **Status** |
| N/A | Core Financials | N/A |
| N/A | Cyber Security | N/A |
| N/A | Environmental Management | N/A |
| N/A | Risk Management | N/A |
| N/A | Fleet Management | N/A |
| N/A | Seized Property | N/A |

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| **5. Financial Implications and Budget Provision** |

5.1 If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

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| **6. Human Resources Implications** |

6.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **7. Equality Implications** |

7.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **8. Risk Management** |

8.1 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force’s risk management process.

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| **9. Policy Implications and links to the Police and Crime Plan Priorities** |

9.1 Any policy implications will be subject to current policy development process.

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| **10. Changes in Legislation or other Legal Considerations** |

10.1 There are no direct legal implications as a result of this report.

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| **11. Details of outcome of consultation** |

11.1 Following receipt of a final audit or inspection report a member of the Governance and Planning team consults with the appropriate Lead Officer and other stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.

11.2 All planned actions are added to the action planning system, 4Action, for management and review until completion.

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| **12. Appendices** |

12.1 Appendix A - Overview of all ongoing actions and recommendations from Inspections

12.2 Appendix B – Overview of all ongoing recommendations from internal audits.

12.3 Appendix C – Overview of all ongoing recommendations arising from Super Complaints.