

<b>For Information</b>	
<b>Public/Non Public</b>	<b>Public</b>
<b>Report to:</b>	<b>Audit and Scrutiny Panel</b>
<b>Date of Meeting:</b>	<b>30<sup>th</sup> June 2016</b>
<b>Report of:</b>	<b>Julie Mair Head of Corporate Development</b>
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<b>Agenda Item:</b>	<b>15</b>

## **AUDIT AND INSPECTION REPORT**

### **1. Purpose of the Report**

- 1.1 To provide the Audit and Scrutiny Panel with an update on progress against recommendations arising from audits and inspections which have taken place within the force.
- 1.2 To inform the Panel of the schedule of planned audits and inspections.

### **2. Recommendations**

- 2.1 That the Panel notes the progress made against audit and inspection recommendations.
- 2.2 That the Panel takes note of forthcoming audits and inspections.

### **3. Reasons for Recommendations**

- 3.1 To enable the Panel to fulfil its scrutiny obligations with regard to the Force's response to audits and inspections.
- 3.2 To keep the Panel informed about forthcoming audits and inspections.

### **4. Summary of Key Points**

- 4.1 The actions referred to in this report are the result of recommendations made by the Force's internal auditors and external inspectorates, including Her Majesty's Inspectorate of Constabulary (HMIC). They are managed through the Force Activity Plan process and updated on a monthly basis.
- 4.2 Appendix 1 'Audit, Inspection and Review Status Report Quarter 4 2015/16' provides a summary of forthcoming audits and inspections that the Force is currently aware of.
- 4.3 Appendix 2 'Audit and Inspection Actions Update Report Quarter 4 2015/16' provides details of specific actions arising from audits and inspections that are either off target, at risk of being off target, proposed for closure, closed or new actions.

## **Overdue Actions**

4.4 There are currently no actions showing as 'off target'.

### **Actions at risk of being Overdue**

4.5 There are 9 actions showing as 'at risk' of being overdue. There are a further 6 in the 'New Actions' section. These are all recent final publications and Planning and Policy are in consultation with key stakeholders and subject matter experts seeking a response to the recommendations.

## **5 Financial Implications and Budget Provision**

5.1 There are no direct financial implications. If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

## **6 Human Resources Implications**

6.1 There may be policy implications in relation to the actions listed:

- Firearms Licensing: Targeting the Risk.
- Integrated Offender Management

## **7 Equality Implications**

7.1 There may be equality implications arising from the following reviews of policy and process:

- Child protection and vulnerability in custody.
- Integrated Offender Management
- The depths of dishonour: Hidden voices and shameful crimes.
- Welfare of Vulnerable People in Custody.

## **8 Risk Management**

8.1 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force's established risk management process.

## **9 Policy Implications and links to the Police and Crime Plan Priorities**

- 9.1 Any policy implications will be subject to current policy development process.
- 9.2 The following actions relate to aspects of current Police and Crime Plan priorities:
- Vulnerable People in Custody.
  - Domestic abuse action plan.

## **10 Changes in Legislation or other Legal Considerations**

- 10.1 There are no potential legal implications arising from the actions.

## **11 Details of outcome of consultation**

- 11.1 Following receipt of a final audit or inspection report a member of the Planning and Policy team consults with the Force lead and other responsible stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.
- 11.2 All planned actions are added to the Force's action planning system, 4Action, for management and review until completion.

## **12. Appendices**

- 12.1 Appendix 1: Audit and Inspection Status Report Q4 2015/16
- 12.2 Appendix 2: Audit and Inspection Actions Update Report Q4 2015/16