

For Decision	
Public/Non Public*	Public
Report to:	Audit and Scrutiny Panel
Date of Meeting:	9th June 2015
Report of:	The Chief Finance Officer
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Agenda Item:	12

INTERNAL AUDIT ANNUAL PLAN 2015-16

1. Purpose of the Report

- 1.1 To inform members of the proposed plan of work for 2015-16.
- 1.2 To provide members with a new Audit Charter following the change in Internal Audit providers

2. Recommendations

- 2.1 Members are requested to approve the audit plan for 2015-16 attached at **Appendix A**.
- 2.2 Members are requested to approve the Audit Charter provided at **Appendix B**

3. Reasons for Recommendations

- 3.1 This complies with good governance and financial regulations

4. Summary of Key Points

- 4.1 The internal auditors have met with the OPCC and force to agree the plan proposed at **Appendix A**. This plan for 2015-16 has been established based upon meeting statutory requirements for auditing key financial systems, the need to audit systems where there has been a significant change in year and other audit requests based upon risks within the strategic risk register and advisory audits required to ensure the smooth running of both legal entities.
- 4.2 The newly appointed internal auditors will also have responsibility for producing a longer term audit plan, which will be brought to the next meeting of this panel.

5. Financial Implications and Budget Provision

- 5.1 None as a direct result of this report.

6. Human Resources Implications

6.1 None as a direct result of this report.

7. Equality Implications

7.1 None as a direct result of this report.

8. Risk Management

8.1 The risk register has been used in the production of this internal audit plan.

9. Policy Implications and links to the Police and Crime Plan Priorities

9.1 The work of internal audit supports all of the Police & Crime Plan priorities.

10. Changes in Legislation or other Legal Considerations

10.1 The internal changes to systems and processes have been considered as part of this plan.

11. Details of outcome of consultation

11.1 The OPCC and Force were part of the process for producing this plan.

12. Appendices

12.1 **Appendix A** – Internal Audit Plan 2015-16

12.2 **Appendix B** – Draft Internal Audit Strategy 2015/15 to 2018/19 and 2015/16 Internal Audit Plan