

For Decision	
Public/Non Public*	Public
Report to:	Audit and Scrutiny Panel
Date of Meeting:	9 March 2017
Report of:	Chief Finance Officer
Report Author:	Charlotte Radford
Other Contacts:	Natalie Baker-Swift, Brian Welch
Agenda Item:	10

INTERNAL AUDIT ANNUAL PLAN 2017-18

1. Purpose of the Report

- 1.1 To inform members of the proposed plan of work for 2017-18 in respect of the accounts relating to 2016-17.

2. Recommendations

- 2.1 Members are requested to consider and approve the audit plan for 2017-18 attached at **Appendix A**.

3. Reasons for Recommendations

- 3.1 This complies with good governance and financial regulations

4. Summary of Key Points

- 4.1 The internal auditors have met with the OPCC and force to agree the plan proposed at **Appendix A**. This plan for 2017-18 has been established based upon meeting statutory requirements for auditing key financial systems, the need to audit systems where there has been a significant change in year and other audit requests based upon risks within the strategic risk register and advisory audits required to ensure the smooth running of both legal entities.

5. Financial Implications and Budget Provision

- 5.1 None as a direct result of this report.

6. Human Resources Implications

- 6.1 None as a direct result of this report.

7. Equality Implications

- 7.1 None as a direct result of this report.

8. Risk Management

8.1 The risk register has been used in the production of this internal audit plan.

9. Policy Implications and links to the Police and Crime Plan Priorities

9.1 The work of internal audit supports all of the Police & Crime Plan priorities.

10. Changes in Legislation or other Legal Considerations

10.1 The internal changes to systems and processes have been considered as part of this plan – specifically the move to a new payroll system in January.

11. Details of outcome of consultation

11.1 The OPCC and Force were part of the process for producing this plan.

12. Appendices

12.1 **Appendix A** – Internal Audit Plan 2017-18